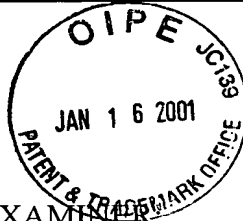


0300  
#15  
PATENT  
P-844-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



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TECHNOLOGY CENTER 2800

APPLICANT(S): FIGOV, Murray et al.

SERIAL NO.: 09/525,579

EXAMINER:

To be Assigned

FILED: July 24, 1998

GROUP ART UNIT: To be Assigned

FOR.: AN IMAGING APPARATUS FOR EXPOSING A PRINTING MEMBER  
AND PRINTING MEMBERS THEREFOR

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes:

1. ☒ Documents including patents, publications, and other information listed on the attached Form PTO-1449 for consideration by the Examiner;
2. ☐ Form PTO-1449 which lists documents including patents, publications and other information for consideration by the Examiner but in accordance with 37 C.F.R. 1.98(d) does not include those documents which have been previously cited or submitted to the Patent Office in the following prior application U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_ which is properly identified and relied on.
3. ☐ Other information for the Examiner's consideration which was cited in a communication from a foreign patent office in a counterpart foreign application.

The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that

each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

I) ☒ Within three (3) months of filing the subject Application or entry of the subject Application into the national stage or before mailing of the first Office Action on the merits whichever event occurs last pursuant to of 37 C.F.R §1.97 (b); or

II) ☐ After the period specified in (I) but before the mailing date of either a final Official Action under 37 C.F.R §1.113 or a notice of allowance under 37 C.F.R §1.311 whichever occurs first;

1. ☐ The undersigned hereby states that each item of information listed on the Form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

2. ☐ the undersigned hereby authorizes the Patent Office to charge the fee in the amount of \$240.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

III) ☐ After the period in (I) and (II) but before the payment of the issue fee,

1. The Undersigned hereby states:

- a) ☐ that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or
- b) ☐ that no items of information contained in Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and
2. The Undersigned submits the following Petition by the Applicant under 37 CFR §197(d) 2:

***PETITION***

*Applicant(s) hereby petition(s) the Patent Office to consider the attached Information Disclosure Statement*

3. The Undersigned hereby authorizes the Patent Office to charge the Petition fee in the Amount of \$130.00 under 37 C.F.R §1.17 (i) to Deposit Account 05-0649.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 05-0649.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

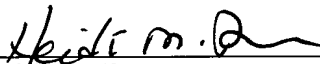
In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not

APPLICANTS: FIGOV, Murray et al.  
SERIAL NO.: 09/525,579  
FILED: July 24, 1998  
Page 4

believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

**Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 05-0649.

Respectfully submitted,

  
Heidi M. Brun  
Attorney for Applicant(s)  
Registration No. 34,504

Dated: January 1, 2001

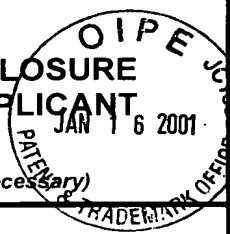
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PTO/SB/08A (10-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/525,579	
			Filing Date	July 24, 1998	
			First Named Inventor	FIGOV, Murray et al.	
			Group Art Unit	To be Assigned	
			Examiner Name	To be Assigned	
Sheet	1	of	2	Attorney Docket Number	P-844-US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	5,379,698		Nowak et al.	January 10, 1995	
	AB	4,959,664		Naiki et al.	September 25, 1990	
	AC	3,773,404		Moore	November 20, 1973	
	AD	5,605,097		Ruckl et al.	February 25, 1997	
	AE	5,109,460		Baek et al.	April 28, 1992	
	AF	5,168,288		Baek et al.	December 01, 1992	
	AG	5,357,617		Davis et al.	October 18, 1994	
	AH	5,385,092		Lewis et al.	January 31, 1995	
	AI	5,469,787		Turner et al.	November 28, 1995	
	AJ	4,563,056		Tagawa et al.	January 07, 1986	
	AK	4,867,545		Wakimoto et al.	September 19, 1989	
	AL	4,880,299		Hamada	November 14, 1989	
	AM	4,975,717		Takemoto et al.	December 04, 1990	
	AN	4,492,970		Lee et al.	January 08, 1985	
	AO	5,414,561		Wakimoto et al.	May 09, 1995	
	AP	5,270,368		Lent et al.	December 14, 1993	
	AQ	5,339,737		Lewis et al.	August 23, 1994	
	AR	3,628,953		Brinckman	December 21, 1971	
	AS	5,387,580		Leenders	January 03, 1995	
	AT	5,180,652		Yamaguchi et al.	January 19, 1993	
	AU	4,054,094		Caddell et al.	October 18, 1977	
	AV	4,693,958		Schwartz et al.	September 15, 1987	
	AW	4,731,317		Fromson et al.	March 15, 1988	
	AX	3,574,657		Burnett	April 13, 1971	
	AY	4,548,893		Lee et al.	October 22, 1985	
	AZ	5,310,869		Lewis et al.	May 10, 1994	
	BA	5,212,048		Lewis et al.	May 18, 1993	
	BB	5,353,705		Lewis et al.	October 11, 1994	
	BC	3,506,779		Brown et al.	April 14, 1970	
	BD	5,188,032		Lewis et al.	February 23, 1993	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
Sheet	2	of	2
		Application Number	09/525,579
		Filing Date	July 24, 1998
		First Named Inventor	FIGOV, Murray et al.
		Group Art Unit	To be Assigned
		Examiner Name	To be Assigned
		Attorney Docket Number	P-844-US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	BE	EP	679510		Rückl et al.	November 02, 1995		<input type="checkbox"/>
	BF	EP	407054		Chieng	January 09, 1991		<input type="checkbox"/>

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BG	Chambers, Science and Technology Dictionary, W & R Chambers, Ltd. (1991).	<input type="checkbox"/>
	BH	Nechiporenko et al. "Direct Method of Producing Waterless Offset Plates by Controlled Laser Beam", USSR Research Institute for Complex Problems in Graphic Arts, pages 139-148.	<input type="checkbox"/>
			<input type="checkbox"/>
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Examiner Signature	Date Considered
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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